




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application ) PATENT APPLICATION  
Inventor(s): David L. Multer, et al. )  
SC/Serial No.: 09/641,028 )  
Filed: August 17, 2000 )  
Title: BASE ROLLING ENGINE FOR DATA TRANSFER ) Customer No. 23910  
AND SYNCHRONIZATION SYSTEM )

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on December 8, 2000.

  
Larry T. Harris, Reg. No. 44,745  
Signature Date: December 8, 2000

(Attorney Signature)

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated October 12, 2000, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for Patent Application
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☐ Power of Attorney
- ☒ Under the New Rules, a Statement Supporting Small Entity Status is no longer required. Accordingly, applicant herewith asserts that it is a small entity and submits its filing fee in the amount of \$384.00.
- ☐ Petition for an Extension of Time pursuant to 37 C.F.R. § 1.136(a) for responding to the Notice to File Missing Parts
- ☐ Information Disclosure Statement under 37 C.F.R. § 1.56

**Patent Application Filing Fee**

No. Filed	No. Extra	Small Entity/ Large Entity	
Basic Fee		\$345.00 \$690.00	= \$ 345.00
Total Claims <u>6</u>	- 20 =	\$ 9.00 \$ 18.00	= \$
Independent Claims <u>4</u>	- 3 = <u>1</u>	\$ 39.00 \$ 78.00	= \$ 39.00
First Presentation of Multiple Dependent Claim(s) _____		\$130.00 \$260.00	= \$
Total PATENT APPLICATION FILING FEE:			\$ 384.00

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

<input checked="" type="checkbox"/>	Patent application filing fee	\$384.00
<input type="checkbox"/>	Net fee for extension of time	\$
<input checked="" type="checkbox"/>	Assignment recording fee (\$40.00)	\$ 40.00
<input checked="" type="checkbox"/>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:	
<input type="checkbox"/>	Large Entity \$130.00	\$
<input checked="" type="checkbox"/>	Small Entity \$ 65.00	\$ 65.00
TOTAL FEE DUE:		\$ 489.00

**Method of Payment of Fees**

- ☒ A check in the amount of the TOTAL FEE DUE is enclosed.
- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Date: 12/8/00

Respectfully submitted,

By: Larry T. Harris  
Reg. No. 44,745

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
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SECTOR



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,028	08/17/2000	Scott D. Klein	FUSN1-01016USO LEV/JAV

Larry E Vierra  
Fliesler Dubb Meyer & Lovejoy LLP  
Suite 400  
Four Embarcadero Center  
San Francisco, CA 94111-4156



## FORMALITIES LETTER



\*OC000000005466727\*

Date Mailed: 10/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$78.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 898.

12/28/2000 SDUONG 00000135 061325 09641028  
01 FC:202 11.00 CH 29.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 12/28/2000 SDUONG  
12/20/2000 SDUONG 00000039 09641028  
04 FC:299 -29.00 OP

*Meseret Kanno*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/20/2000 SDUONG 00000039 09641028 355.00 OP 65.00 OP 29.00 OP  
02 FC:201  
03 FC:205  
12/20/2000 SDUONG 00000039 09641028 29.00 OP  
04 FC:299